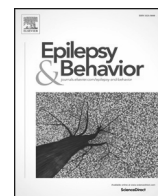




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Brief Communication

Q1 Gaze matters! The effect of gaze direction on emotional enhancement of
3 memory for faces in patients with mesial temporal lobe epilepsyQ2 Łukasz Okruszek^{a,*}, Aleksandra Bala^b, Marcela Dziekan^b, Marta Szantroch^c, Andrzej Rysz^c,
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Purpose: The aim of the study was to examine if gaze and emotional expression, both highly self-relevant social signals, affect the recollection accuracy of perceived faces in patients with mesial temporal lobe epilepsy (MTLE). **Methods:** Forty patients with MTLE (twenty-one without surgery and nineteen after anterior temporal lobectomy) as well as twenty healthy controls (HC) took part in the study. We used a set of 64 facial stimuli: 32 neutral and 32 emotional displays (16 fearful; 16 angry) from well-established affective stimuli databases. Half of the faces in each condition had eyes directed straight and half – away from the observer. Participants performed a gender identification task, and then, after a 45-minute delay were asked to identify the previously seen stimuli, presented among a new set of photos.

Results: Increased automatic learning of angry and fearful compared to neutral expressions was found in HC. There was no emotional enhancement of memory in MTLE but an increased learning for faces with averted than direct gaze.

Conclusion: Our results expand on previous research by demonstrating that emotion expression and gaze direction can affect memory of faces. The study supports the hypothesis that healthy individuals and patients with temporal lobe abnormalities present different patterns of emotional gazes processing. The potential consequences of altered emotional gaze processing and social cognition impairments need to be further investigated to improve the quality of life of patients with MTLE.

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Q3 1. Introduction

Emotional enhancement of memory is a well-established phenomenon, which may be attributed to the modulation of the medial temporal lobe memory activity by the amygdala [1], a brain structure that may be critical for emotion processing and the closely-linked relevance detection [2,3]. Interestingly, attention cueing [4] and capture [5] by emotional stimuli have been observed to be preserved in patients with amygdala damage. Similarly, it has been observed that despite dysfunctional limbic activity, emotions can enhance memory in patients with temporal lobe resection, although the effect was limited to fearful facial expressions [6].

Researchers have speculated that reactions to faces rely on the inference about inner-states of expressers and the expressers' direction of attention, and both those cues are appraised with respect to the

observer's own goals and well-being (self-relevance) [2]. According to the appraisal theories of emotion, the interaction between gaze and expression affects the interpretation and the degree of relevance of perceived faces to the observer. Fearful faces with an averted gaze might signal danger in the environment, while angry faces with a direct gaze might signal aggressive intentions and these self-relevant configurations would elicit stronger amygdala activation [2]. Reduced appraisal of self-relevant emotional gaze has been observed in patients with unilateral amygdala damage after lobectomy [3]. At the same time, most of the studies which examined the interaction between emotional expressions and gaze processing tasks which required participants to provide explicit ratings of emotional stimuli [2,3]. Here we aim at expanding these empirical studies, by examining the extent to which automatic processing of highly self-relevant emotional gazes (anger directed at and fear away from the observer) enhances memory in healthy controls (HC) and patients with mesial temporal lobe epilepsy (MTLE) without surgery (ATL –) or after anterior temporal lobectomy (ATL +). Whereas it is difficult to find participants that meet the required criteria, we believe it is important to conduct a study with a relatively large sample

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size that investigates patients' processing of social signals and particularly how higher relevance of such signals could be preserved in those two populations.

Based on previous studies showing partially spared emotional memory modulation in MTLE [5], we hypothesize that HC and MTLE will show emotional memory enhancement for emotional than neutral faces. Given that gaze-related self-relevance detection in MTLE is impaired [3], we expect this emotional effect to be further modulated by the focus of attention (eye gaze direction) of presented faces in HC, but not in patients.

2. Material and methods

2.1. Participants

Twenty-one ATL[−] and nineteen ATL⁺ participants were separately recruited from the patients treated at the Department of Neurosurgery of the Medical University of Warsaw. Exclusion criteria included: multifocal epilepsy, history of other neurological or psychiatric disorders, drug or alcohol abuse, uncorrected vision defect and intellectual disability. For HC, twenty participants were recruited among healthy volunteers and matched demographically with patients. Exclusion criteria were the same. For details see Table 1.

2.2. Clinical assessment

Video-EEG and magnetic resonance imaging (MRI) were conducted as a part of a standard procedure of drug-resistant epilepsy diagnosis in order to establish the location of epileptic focus. In all patients who underwent the surgical treatment, the histopathological examination of excised tissue was also performed.

Table 1

Sociodemographic and clinical characteristics: patients with epilepsy before (ATL[−]) or after (ATL⁺) the anterior temporal lobectomy and healthy controls (HC).

	ATL [−]	ATL ⁺	HC
N	21	19	20
Age (M ± SD)	33.09 ± 11.41	35.94 ± 6.85	30.23 ± 11.49
Sex (M/F)	9/12	10/9	11/10
Handedness (R/L)	19/2	18/1	19/1
Years of education (M ± SD)	13.42 ± 2.56	13.50 ± 3.18	16.0 ± 1.51
Work (yes/no)	8/13	12/7	14/6
Epilepsy Focus (R/L/B)	10/9/2	11/8	–
Age at epilepsy onset (M ± SD)	12.86 ± 10.57	11.51 ± 9.36	–
Years of epilepsy (M ± SD)	20.23 ± 11.91	22.84 ± 9.71	–
Dominant hemisphere in Wada Test (L/R/B)	N/A	15/2/2	–
Seizures per month (M ± SD)	12.07 ± 12.46	3.60 ± 4.76	–
Years from surgery (M ± SD)	–	4.34 ± 3.28	–
Extend of ATL resection, cm from temporal pole (M ± SD)	I & II temporal gyri	3.03 ± 0.69	–
	III temporal gyrus	4.97 ± 1.33	–
Histopathology n	Focal cortical dysplasia & Hippocampal sclerosis	17	–
	Focal cortical dysplasia	2	–
Pharmacotherapy n	Treatment status (mono –/polytherapy)	2/17	–
	Levetiracetamum	13	10
	Carbamazepinum	6	5
	Lacosamidum	8	1
	Lamotriginum	8	2
	Phenobarbitalum	0	1
	Topiramatum	4	3
	Clobazamum	4	1
	Gabapentinum	3	0
	Oxcarbazepinum	2	6
	Acidum valproicum	3	3
	Vigabatrinum	0	2
	Natrii valproas	1	0
	Clonazepamum	0	1
	Tiagabinum	1	1
TMT B (M ± SD)	107 ± 88	155 ± 202	42 ± 22
RMET (M ± SD)	20.4 ± 5.9	20.2 ± 5.6	24.0 ± 4.8

Abbreviations: ATL[−] = patients with epilepsy before anterior temporal lobectomy; ATL⁺ = patients with epilepsy after anterior temporal lobectomy; HC = healthy controls; TMT B = Trail Making Test part B; RMET = Reading Mind in the Eyes Test.

2.3. Procedure

We conducted a hospital-based case–control study. We used facial stimuli from well-established affective stimuli databases [7–9]. The pictures of faces were cropped in order to display only the head and showed no hair. The faces were devoid of specific characteristics and were displayed in shades of gray. The pictures were aligned with each other in terms of size, rotation and brightness. First, participants were asked to make gender decisions on 32 neutral and 32 emotional displays (16 fearful; 16 angry face expressions) presented for 3 s each on a 17" computer screen. Half of the displays for each category was presented with direct and half with averted gaze. Each face was presented only once. Secondly, after a delay of 45 min, participants were presented with 64 stimuli from the first part of the task and 64 matched displays (different faces) and were asked to decide if a specific face was previously presented. Participants were not overtly informed that the aim of the study was to measure implicit/automatic encoding.

All procedures were in accordance with the Declaration of Helsinki and approved by the Department of Psychology of University of Warsaw Ethics Committee. All participants gave written informed consent.

2.4. Statistical analysis

Statistical analyses were performed using SPSS v.22 for Windows. We ran a 3-Emotion (Fear, Anger, Neutral) × 2-Gaze (direct, averted) repeated-measures ANOVA on hits with Group (ATL[−], ATL⁺, HC) as between-subject factor. To analyze the impact of the laterality of the epilepsy focus on the results of the study we ran an additional ANOVA with Emotion and Gaze as within-subject factors and Group (ATL[−], ATL⁺) and Laterality (Right, Left) as a between-subject factor. All *df*'s were Greenhouse–Geisser corrected.

140 3. Results

141 We found a main effect of Emotion ($F(2,114) = 5.2$ $p < 0.01$) and
 142 Gaze ($F(1,57) = 5.2$ $p < 0.05$). Both effects were modulated by Group
 143 (Emotion \times Group: $F(4,114) = 4.2$ $p < 0.01$; Gaze \times Group $F(1,57) =$
 144 8.25 $p < 0.01$). Analysis of these effects revealed that the Emotion effect
 145 was observed only in HC (fear vs. neutral: $t(20) = 3.8$ $p < 0.01$; anger vs.
 146 neutral: $t(20) = 5.4$ $p < 0.001$). The gaze effect was observed only in
 147 patients: in ATL– ($F(1,20) = 7.2$ $p < 0.05$) and in ATL+ ($F(1,18) =$
 148 12.1 $p < 0.01$) memory enhancement for averted gazes was observed.
 149 Results are visualized in Fig. 1. The secondary ANOVA revealed no
 150 main effect of the Laterality of the focus, nor higher-order interaction
 151 between Laterality of the focus and any of the remaining factors.

152 4. Discussion

153 This is the first study to our knowledge to investigate the effect of
 154 emotional expressions and gaze on memory processes in patients
 155 with MTLE. Our observations were partially consistent with previous
 156 findings. We found an effect of emotional memory enhancement in
 157 healthy controls, with higher hit rates for angry and fearful compared
 158 to neutral expressions. No emotional enhancement was found in pa-
 159 tients, even though previous findings suggested that effects of fearful
 160 stimuli were preserved in ATL– patients [6].

161 Increased learning of averted compared to direct gaze faces was
 162 observed in patients. A previous study reported that, while amygdala

activity is modulated by both emotional displays and gaze direction, 163
 increased hippocampal activity which can be linked to self-relevant 164
 memory processes is selectively activated by direct gaze perception in 165
 healthy individuals [11]. At the same time, we found no effect of the 166
 gaze direction on the automatic learning in healthy controls in the cur- 167
 rent study. However, unlike the previous studies on self-relevance pro- 168
 cessing [2,3] we used static pictures of direct or averted gazes, instead of 169
 dynamic displays presenting gaze-shifts. It has been suggested that 170
 when it comes to the social realm, the shift of the gaze may be a more 171
 meaningful information than the direction of the other agent's gaze 172
 [12]. The observation of the gaze aversion has been found to elicit a 173
 range of negative effects on the viewer [12], thus a dynamic gaze-shift 174
 may be of greater importance in signaling a negative value in social inter- 175
 action, than a static gaze expression. Therefore, finding a memory bias to 176
 averted gaze in patients with temporal lobe dysfunction, may be linked 177
 to the fact that structures which respond to gaze aversion (particularly 178
 posterior superior temporal regions; [13]) are not damaged in patients 179
 with MTLE. At the same time, MTLE patients present large deficits in 180
 recognition of affective facial displays, which may be linked to the 181
 disruption of the facial processing network [14,15]. Thus, the unbalance 182
 between the activity of the networks which subserve facial affect 183
 processing and gaze-shift coding may lead to lack of emotional enhance- 184
 ment of the memory and memory bias for averted gazes, which both 185
 have been observed in MTLE patients in the current study. 186

The present study explores further the atypical self-relevance pro- 187
 cessing observed in patients with amygdala lesions [3–7] and suggests 188

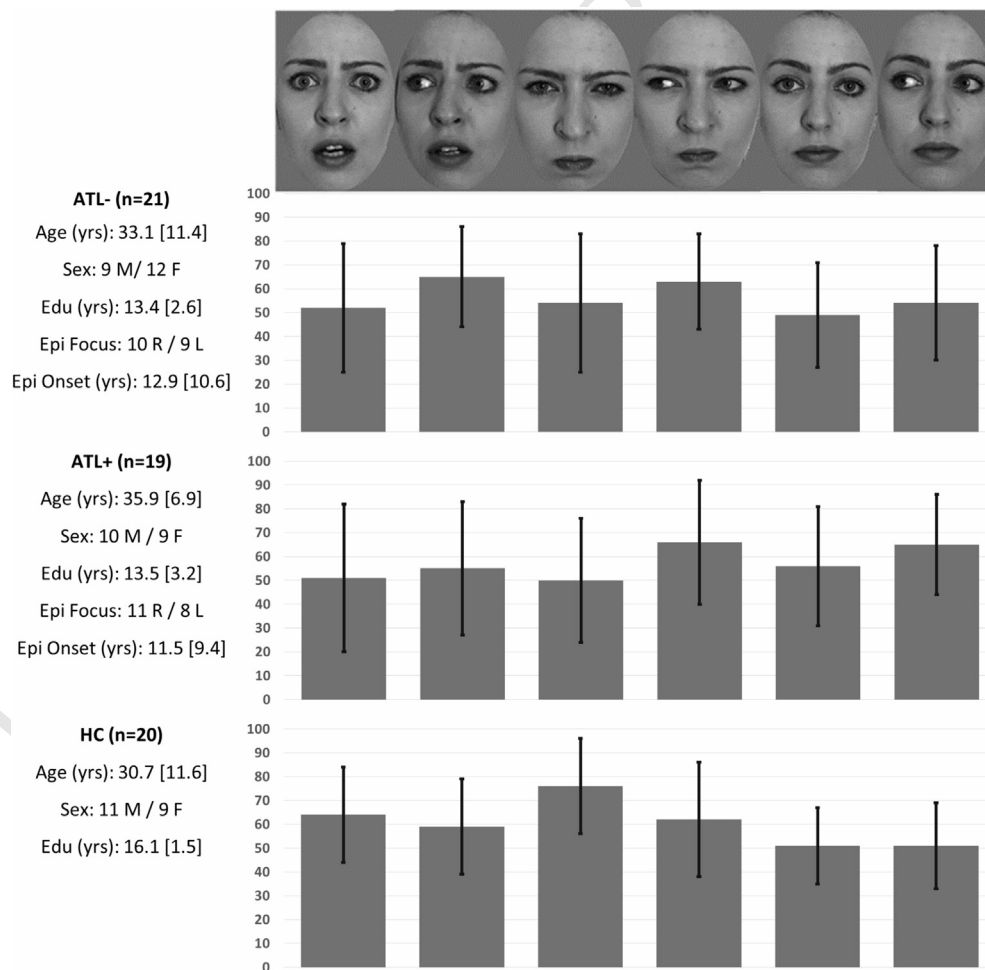


Fig. 1. Recognition rates in percentages for each type of stimuli (Emotions: fear/anger/neutral \times Gaze: direct/averted). ATL– = patients with mesial temporal lobe epilepsy before anterior temporal lobectomy; ATL+ = patients with mesial temporal lobe epilepsy after anterior temporal lobectomy; HC = healthy controls. Error bars are for standard deviations. Pictures presented in the figure have been created for presentation purposes given the copyrights of original stimuli (original set of stimuli available on request). Each face identity was used exclusively for one of the three possible facial expressions.

189 that gaze and emotion processing should be both taken into account
 190 while examining the nature of social impairments in MTLE. We must
 191 however mention the limitations of the research. First, given the very
 192 restrictive criteria for participant inclusion in our study, an even larger
 193 number of participants are needed to validate our results and further in-
 194 vestigate social cognition and self-relevance impairments in MTLE. Sec-
 195 ond, emotional enhancement was only partially confirmed in the
 196 control group, suggesting that explicit threat related with hostile inten-
 197 tions was the most salient category for the healthy participants of this
 198 study. Third, despite surgical procedures not all ATL+ patients were
 199 seizure-free. And finally, the study was not longitudinal, the ATL –
 200 and the ATL+ groups were not the same patients, which – given the di-
 201 versity and extent of affected brain regions in MTLE – makes it difficult
 202 to specifically evaluate the influence of the surgery. A fully longitudinal
 203 research would require a longer period of study, encompassing a time
 204 before surgery and more than one postsurgical time point after surgery
 205 in order to enable researchers to observe the dynamics of functional
 206 changes, which are now partially speculative.

207 Further research could clarify whether: i) there are differences
 208 in emotional memory enhancement in MTLE and extra-MTLE;
 209 ii) seizure-free post-lobectomy patients' performance is closer to HC
 210 than to those with seizures; iii) inclusion of the same patients pre-
 211 and post-surgically would show stronger differences than comparing
 212 two populations.

213 5. Conclusions

214 Our study suggests that patients with epilepsy remember social-
 215 emotional messages differently than healthy individuals. MTLE patients
 216 seem to exhibit impairments in the processing of stimuli that are rele-
 217 vant to them, as observed in the lack of memory enhancement for emo-
 218 tional stimuli and memory enhancement for faces gazing away from the
 219 patients. This impairment in self-relevance processing was observed in
 220 patients with MTLE irrespectively of whether they have undergone an
 221 anterior temporal lobectomy or no surgery. Our study is in line with the
 222 rare studies that compared both groups of patients, failing to detect sig-
 223 nificant differences in terms of social inference abilities [14,15]. However,
 224 given how significantly social and self-relevance processing impairments
 225 can affect the quality of life of patients with epilepsy, further systematic
 226 studies are required on large longitudinal samples with an in-depth in-
 227 vestigation of the consequences of surgery and surgery laterality.

228 We believe that better understanding of the changes in social pro-
 229 cessing, encoding and decoding of emotional information as well as per-
 230 ception of self-relevance information in epilepsy is essential. Further
 231 research is required to evaluate patients' socio-emotional functioning
 232 and particularly how the surgery for the treatment of intractable epilep-
 233 sy may affect patients' everyday life and well-being.

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237 Author contributions

238 Łukasz Okruszek contributed to study design, data analysis, data vi-
 239 sualization and manuscript preparation. Aleksandra Bala contributed to

data collection, data analysis and manuscript preparation. Marcela 240
 Dziekan contributed to preparation of research paradigm and data 241
 collection. Marta Szantoch contributed to manuscript revision. Andrzej 242
 Rysz contributed to manuscript revision. Andrzej Marchel contributed 243
 to manuscript revision. Sylwia Hyniewska contributed to study design, 244
 data analysis and manuscript preparation. 245

Conflict of interest statement

On behalf of all authors, the corresponding author states that there is 247
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[10] 250

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